IDS.- 04/19/2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Julio C. Palmaz and) Dkt. No.:

JOH2748P0044US

Serial No.:

10/689,579

Richard A. Schutz

Group Art No.: 3738

Filed:

10-20-2003

Examiner: Paul Prebilic

Title: Expandable Intraluminal Graft, and

Method and Apparatus for

Implementing an Intraluminal Graft)

CORRECTION TO INFORMATION DISCLOSURE STATEMENT DATED APRIL 7, 2006

Mail Stop Amendment Commissioner for Patents · P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is being submitted to more specifically draw the Examiner's attention to certain references which were previously cited in the Information Disclosure Statement dated April 7, 2006.

On pages 3-4 of the April 7, 2006 Information Disclosure Statement, Applicants invited the Examiner's particular attention to a listing of eleven references cited on the PTO-1449 forms accompanying the Information Disclosure Statement. However, Applicants inadvertently forgot to include two additional references in the listing. Both of these references were included on the PTO-1449 forms as well as briefly discussed on

> 37 CFR 1.8 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on April 13, 2006.

02748P0044US

Under the Pape 995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/689,579 Substitute for form 1449A/PTO Filing Date October 20, 2003 INFORMATION DISCLOSURE First Named Inventor Julio C. Palmaz STATEMENT BY APPLICANT Group Art Unit 3738 (Use as many sheets as necessary) 2120 Confirmation No. Examiner Name Paul B. Prebilic

Attorney Docket No.

33

of

Sheet

			U.S. PATENT DOC	UMENTS			
Examiner	Cite	U.S. Patent Document					
Initials*	No. ¹	Number - Kind Code ² (if known)	MM-DD-YY	Applicant of Docume		Relevant Passages of Figures Appe	
pp		US- 861,659	07/30/07	Johnsto	n		
		US- 3,599,641	08/17/91	Sherida	n		
		US- 3,657,744	04/25/72	Ersek			
		US- 3,744,596	07/10/73	Sande	r		-
		US- 3,968,800	, 07/17/76	Vilasi			
		US- 4,076,285	02/28/78	Martine	z		
		US- 4,299,226	11/10/81 Banka				
		US- 4,300,244	11/17/81	Bokros			
		US- 4,323,071	04/06/82	Simpson et al.			_
		US- 4,390,599	06/28/83	Broyle	5		
		US- 4,503,569	03/12/85	Dotter			
		US- 4,512,338	04/23/85	Balko et	al.		
		US- 4,550,447	11/05/85	Seiler, Jr.	et al.		_
		US- 4,553,545	11/19/85	Maass et	al.		
		US- 4,560,374	12/24/85	Hammers	lag		
		US- 4,562,596	01/07/86	Kronber	9		
		US- 4,580,568	04/08/86	Giantur	xo		
V		US- 4,655,771	04/07/87	Wallste	n		
Examiner Signature		/Paul Prebilic/			Date Conside	ered 04/25	5/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. ⁶ Applicant is to place a checkmark here if English language Translation is attached.

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO				Application Number	10/689,579
ubslitute for form 1445@F10				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT.		Group Art Unit	3738
(Use as many sh	eets as nec	essary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	2	of	33	Attorney Docket No.	02748P0044US

			U.S. PATENT DOC	UMENTS		
Examiner Initials*	Cite No.1	U.S. Patent Document Number - Kind Code ² (if known)	Publication Date MM-DD-YY Name of F Applican Docu		ited R	Pages, Columns, Lines Where elevant Passages or Relevant Figures Appear
PP	US- 4,676,241		06/30/87	Webb et al		
1		US- 3,279,996	10/18/66	Long et al.		
		US- 3,526,005	09/01/70	Bokros		
		US- 3,932,627	01/13/76	Margraf		
		US- 3,948,254	04/06/76	Zaffaroni		
		US- 3,952,334	04/27/76	Bokros et a	1.	
		US- 4,069,307	01/17/78 Higuchi et al.		I.	
		US- 4,292,965	10/06/81 Nash			
		US- 4,312,920	01/26/82	01/26/82 Pierce et al.		
		US- 4,321,711	03/30/82	Mano		
		US- 4,565,740	01/21/86	Golander et	al.	
		US- 4,613,665	09/23/86	Larm		
		US- 4,642,111	02/10/87	Sakamoto et	al.	
		US- 4,656,083	04/07/87	Hoffman et a	al.	
		US- 4,678,466	07/07/87	Rosenwald		
		US- 4,687,482	08/18/87	Hanson		
		US- 4,689,046	08/25/87	Bokros		
W		US- 4,740,207	04/26/88	Kreamer		
Examiner Signature				С	ate Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. 6 Applicant is to place a checkmark here if English language Translation is attached.

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Substitute for form 1449A/PTO				Application Number	10/689,579	
Substitute for form 1449APTO				Filing Date	October 20, 2003	
INFORMATION				First Named Inventor	Julio C. Palmaz	
STATEMENT B	Y APPL	.ICANT		Group Art Unit	3738	
(Use as many shee	ets as nece	essary)		Confirmation No.	2120	
		•		Examiner Name	Paul B. Prebilic	
Sheet	3	of	33	Attorney Docket No.	02748P0044US	

			U.S. PATENT DOO	UMENTS	
Examiner Initials*	r Cite No.¹ Number - Kind Code² (if known)		Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
PP		US- 4,731,054	03/15/88	Billeter et al.	
1		US- 4,733,665	03/29/88	Palmaz	
		US- 4,739,762	04/26/88	Palmaz	
		US- 4,760,849	08/02/88	Kropf	
		US- 4,776,337	10/11/88	Palmaz	
		US- 4,787,899	11/29/88	Lazarus	
		US- 4,800,882	01/31/89 Gianturco		
		US- 4,856,516	08/15/89	Hillstead	
		US- 4,969,458	11/13/90	Wiktor	
		US- 4,998,923	03/12/91	Samson et al.	
		US- 5,102,417	04/07/92	Palmaz	
		US- 5,135,536	08/04/92	Hillstead	
		US- 4,753,625	06/28/88	Langer et al.	
		US- 4,768,507	09/06/88	Fischell et al.	
		US- 4,810,784	03/07/89	Larm	
		US- 4,872,867	10/10/89	Joh	
1/		US- 4,916,193	04/10/90	Tang	
V		US- 4,886,062	12/12/89	. Wiktor	
xaminer ignature				Date Cons	idered

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Substitute for form 1440A/DTO				Application Number	10/689,579
Substitute for form 1449A/PTO				Filing Date	October 20, 2003
INFORMATION	-			First Named Inventor	Julio C. Palmaz
STATEMENT E	BY APPL	.ICANT		Group Art Unit	3738
(Use as many she	eets as nece	essary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	4	of	33	Attorney Docket No.	02748P0044US

			U.S. PATENT DOC	UMENTS	
Examiner	Cite	U.S. Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines Where
Initials*	No.1	Number - Kind Code ² (if known)	MM-DD-YY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
PP		US- 4,994,298	02/19/91	Yasuda	
1		US- 5,019,090	05/28/91	Pinchuk	
		US- 5,019,096	05/28/91	Fox et al.	
		US- 5,029,877	07/09/91	Fedeli et al.	
		US- 5,034,265	07/23/91	Hoffman et al.	
		US- 5,053,048	10/01/91	Pinchuk	
		US- 5,049,403	0917/91	Larm et al.	
		US- 5,059,166	10/22/91	Fischell et al.	
		US- 5,133,732	07/28/92	Wiktor	
		US- 5,182,317	01/26/93	Winters et al.	
		US- 5,213,898	05/25/93	Larm et al.	
		US- 5,226,913	07/13/93	Pinchuk	
		US- 5,262,451	11/16/93	Winters et al.	
		US- 5,338,770	08/16/94	Winters et al.	
		US- 5,383,928	01/24/95	Scott et al.	
		US- 5,474,563	12/12/95	Myler	
		US- 5,512,055	04/30/96	Domb et al.	
W.		US- 5,545,208	08/13/96	Wolff et al.	
Examiner Signature				Date Cons	sidered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16. if possible. 6 Applicant is to place a checkmark here if English language Translation is attached.

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C + 11 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -				Application Number	10/689,579
Substitute for form 1449A/PTO				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT E	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eets as nece	ssary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	neet 5 of 33			Attorney Docket No.	02748P0044US

		•	U.S. PATENT DOC	UMENTS	
Examiner	Cite	U.S. Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines Where Relevant Passages or Relevant
Initials*	No.¹	Number - Kind Code ² (if known	MM-DD-YY	Document	Figures Appear
PP		US- 5,591,227	01/07/97	Dinh et al.	
		US- 5,624,411	04/29/97	Tuch	
		US- 5,877,224	03/02/99	Brocchini et al.	
$\overline{\mathbf{A}}$		US- 6,120,536	09/19/00	Ding et al.	
		US-			
Examiner Signature				Date Con	sidered

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Substitute for form 1449A/PTO				Application Number	10/689,579	
Substitute for form 1449AF10				Filing Date	October 20, 2003	
INFORMATION				First Named Inventor	Julio C. Palmaz	
STATEMENT B	Y APPL	ICANT		Group Art Unit	3738	
(Use as many she	ets as nece	ssary)		Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet	6	of	33	Attorney Docket No.	02748P0044US	

		, FOREIGN P	ATENT DOCUMENT	`S				
Examiner Initials'	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Applic	of Patentee or eant of Cited	Where	es, Columns, Lines, Relevant Passages or	Τ¢
initials	NO.	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)		Do	ocument	Relevant Figures Appear		
PBP		EP 0 145 166	OE/19/1985 10/12/84					
		EP 0 77330	04 709/1986					
		EP 0 183 372	06/04/1986 19/18/85					
		EP 0 221 570	C5/13/1987					
		USSR 660689	05/05/1979					
		EP 0 421 729	04/1991					
		USSR 1457921	02/1989					
V		WO 89/03232	04/20/89					
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Examiner Si	gnature		Sh MRED 600 Down No. of		Date Conside	red	and and last de co	

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'Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. 'Applicant is to place a checkmark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1445741 70				Filing Date	October 20, 2003
INFORMATION		•		First Named Inventor	Julio C. Palmaz
STATEMENT B	Y APPL	ICANT		Group Art Unit	3738
(Use as many she	ets as nece	ssary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	7	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
PP 	A	Trial Transcript from November 6, 2000 at 185-90 and 235-36 (Attorneys' opening remarks regarding '984 patent)						
	В	Trial Transcript from November 7, 2000 at 274-301, 307-315, 320-28 and 332 (Cordis expert testimony regarding the Palmaz-Schatz stent); 370-379, 480-496 (J. Palmaz testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art)						
	С	Trial Transcript from November 8, 2000 at 547-63, 657-63, 674-722, 782-85 (Cordis expert testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art)						
	D	Trial Transcript from November 9, 2000 at 819-23, 921 (Cordis expert testimony regarding the '984 patent); 926-941. (R. Croce testimony re Palmaz-Schatz stent); 1033-1053. (R. Schatz testimony)						
	Е	Trial Transcript from November 13, 2000 at 1086-1134. (R. Schatz testimony); 1275-1305 (Cordis expert testimony regarding the '984 patent)						
	F	Trial Transcript from November 14, 2000 at 1390-1404, 1448-1454, 1486-1500 (Cordis expert testimony regarding the '984 patent)						
	G	Trial Transcript from November 15, 2000 at 1686-87, 1724-42, 1828-34, 1850-54, 1887-92 (AVE expert testimony regarding the '984 patent)						
	Н	Trial Transcript from November 16, 2000 at 2077-198 (AVE expert testimony regarding the alleged obviousness of the '984 patent)						
	I	Trial Transcript from November 17, 2000 at 2331-34 (jury instructions as to the meaning of the limitations of the claims of the '984 patent)						
Examiner Signature		Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of

information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1449AF TO				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT B	Y APPL	ICANT		Group Art Unit	3738
(Use as many she	ets as nece	ssary)		Confirmation No.	2120
		•		Examiner Name	Paul B. Prebilic
Sheet 8 of 33		Attorney Docket No.	02748P0044US		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
Trial Transcript from November 20, 2000 at 2441-48, 2499-2500, 2546-50, 2552-5 (Attorneys' closing arguments regarding the '984 patent)								
	K	Trial Transcript from November 21, 2000 at 2592-94 (reading of jury verdict)						
	L	Trial Transcript from December 18, 2000 at 2750-95 (Cordis expert testimony regarding the Palmaz-Schatz stent during the damages phase)						
	М	Trial Transcript from December 20, 2000 at 3421-88 (AVE expert testimony regarding the Palmaz-Schatz stent during the damages phase)						
	N	Jury verdict, dated November 21, 2000						
	0	District Court decisions on post-trial motions (194 F. Supp. 2d 323)						
	P	Court of Appeal for the Federal Circuit decision (339 F.3d 1352)						
	Q	Trial Transcript from March 4, 2005 at 133-135, 171-173 and 192-96 (Attorney's opening remarks regarding '984 validity)						
	R	Trial Transcript from March 7, 2005 at 275-311 (Cordis expert testimony regarding the Palmaz-Schatz stent); 342-46, 353-59, 416-425 (J. Palmaz testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art); 430-449, 452-58, 462-492 (R. Croce testimony regarding the Palmaz-Schatz stent); 500-507 (Cordis expert testimony regarding the '984 patent)						
	S	Trial Transcript from March 8, 2005 at 609 (Cordis expert testimony regarding the '984 patent); 628-73, 724-740, 773, 801-839 (Cordis expert testimony regarding the '984 patent, the prior art and the Palmaz-Schatz stent)						
	Т	Trial Transcript from March 9, 2005 at 936-49, 968-69 (Cordis expert testimony regarding the '984 patent, the prior art and the Palmaz-Schatz stent)						
Examiner Signature		Date Considered						

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO to process) and application.

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2120

Paul B. Prebilic

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Confirmation No.

Examiner Name

Attorney Docket No.

33

of

Sheet

9

		OTHER PRIOR ART - NON PATENT LITERATURE DOC	CUMENTS					
Examine Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
PP U		Trial Transcript from March 10, 2005 at 1427-74, 178-1509, 1514-23 (AVE expert testimony regarding the alleged obviousness of the '984 patent); 1566-93 (AVE expert testimony regarding Palmaz-Schatz stent); 1634-49 (R. Schatz testimony)						
	V	Trial Transcript from March 11, 2005 at 1846-47, 1891-1900, 1919 (Attorneys' closing arguments regarding '984 obviousness)						
	w	Trial Transcript from March 14, 2005 at 1964-67 (readin	g of jury verdict)				
	х	Jury verdict dated March 14, 2005	Jury verdict dated March 14, 2005					
	Y	Medtronic Vascular Inc.'s Opening Brief in Support of Its Motion for Judgment As A Infringement Claim dated April 19, 2005						
	Z	Medtronic Vascular Inc.'s Opening Brief in Support of Its Motion for a New Trial dated April 19, 2005						
	AB	D.I. 1407, Cordis' Combined Answering Brief In Opposition to AVE's Motion for JMOL on Infringement of the Palmaz '762 and Schatz '984 Patents and Its Motion for a New Trial dated May 5, 2005						
	AC	D.I. 1414, Medtronic Vascular Inc.'s Combined Reply Brief In Support of Its Motion for Judgment as a Matter of Law on Cordis Corp.'s Patent Infringement Claims and Its Motion for a New Trial						
	, AD	Trial Transcript from February 8, 2001 at 372-412, 449-469 (B. Tobor testimony regarding the prosecution of the '417, '984 and '332 patents); 510-13 (J. Milnamow testimony regarding the prosecution of the '332 patent); 558-604 (J. Palmaz testimony regarding the prosecution of the '417, '984 and '332 patents and the prior art)						
Examine Signature		·	Date Considered					

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/689,579 **Application Number** Substitute for form 1449A/PTO Filing Date October 20, 2003 INFORMATION DISCLOSURE Julio C. Palmaz First Named Inventor STATEMENT BY APPLICANT Group Art Unit 3738 (Use as many sheets as necessary) Confirmation No. 2120 **Examiner Name** Paul B. Prebilic 10 of 33 Attomey Docket No. 02748P0044US Sheet

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
PP AE		Trial Transcript from February 9, 2001 at 637-45, 662-672, 682-85 (J. Palmaz testimony regarding the prior art); 699-742 (R. Schatz testimony); 769-770, 790-95 (Cordis expert testimony regarding prior art)							
	AF D.I. 1067, Medtronic AVE, Inc.'s Post-Trial Brief Relating to the Unenforceability of the '762 and '984 Patents Due to Inequitable Conduct								
AG D.I. 1077, Cordis' Combined Answering Brief in Opposition to AVE's BSC's Post- Hearing Briefs on Alleged Inequitable Conduct Concerning the '762, '984 and '332 Patents									
	AH D.I. 1089, Reply Brief In Support of Medtronic AVE, Inc.'s Contention that the '762 and '984 Patents are Unenforceable Due to Inequitable Conduct dated May 7, 2001								
	AJ	C.A. No. 00-886-SLR, Answer and Counterclaims of Def. Medtronic AVE, Inc. To First Amended Complaint of Plaintiff Cordis Corp.							
	AJ	BSC's Opening Post-Trial Brief in Support of Its Defense That the Patents in Suit Are Unenforceable, dated March 16, 2001							
	AK	Reply Brief in Support of BSC's Defense That the Patents in Suit Are Unenforceable, dated May 7, 2001							
	AL	Court's Decision on allegations of inequitable conduct (194 F. Supp. 2d 323)							
	AM	Trial Transcript from November 21, 2000 at 155-57 and 180-84 (Attorneys' opening remarks regarding '332 patent)							
\downarrow	Trial Transcript from November 27, 2000 at 227-51, 260-300 (Cordis expert testimony regarding the Palmaz-Schatz stent); 343-60, 363-67, 424-33 (J. Palmaz testimony regarding the Palmaz-Schatz stent and the '332 patent)								
Examiner Signature		Date Considered							

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Substitute for form 1449A/PTO				Filing Date	October 20, 2003	
INFORMATIO				First Named Inventor Julio C. Palmaz		
STATEMENT	BY APPI	LICANT		Group Art Unit	3738	
(Use as many si	eets as neces	sary)		Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet	11	· of	33	Attorney Docket No.	02748P0044US	

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when ap journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p						
PP	AO	Trial Transcript from November 28, 2000 at 649-71						
	AP	Trial Transcript from November 29, 2000 at 791-816, 859-870, 953-62 (Cordis expetestimony regarding the '332 patent and the Palmaz-Schatz stent)						
	AQ Trial Transcript from November 30, 2000 at 1018 (Cordis expert testimony regarding the '332 patent); 1062-80, 1108-1111 (R. Croce testimony regarding the Palmaz-Sch stent); 1169-70, 1205-17, 1236-45 (Cordis expert testimony regarding the '332 patent)							
	AR Trial Transcript from December 1, 2000 at 1352-54 (Cordis expert testimony regardin the '332 patent); 1364-1442 (R. Schatz testimony); 1493-1508, 1552-69 (BSC expert testimony regarding the '332 patent and the Palmaz-Schatz stent)							
	AS	Trial Transcript from December 4, 2000 at 1602-12, 1638-51, 1713-14, 1730-61, 1811-14, 1823-36 (BSC expert testimony regarding the alleged obviousness of the '332 patent, the prior art and the Palmaz-Schatz stent)						
	AT	Trial Transcript from December 6, 2000 at 2318-27, 2342-58 (BSC expert testimony regarding the '332 patent)						
	AU Trial Transcript from December 7, 2000 at 2549-52 (Cordis expert testimony regarding the '332 patent); 2575-2579, 2591-92, 2630-31, 2649, 2669-71, 2684-85, 2688, 2708-10, 2725-27 (Attorney closing argument regarding '332 patent); 2742-46 (jury instructions as to the meaning of the limitations of the claims of the '332 patent)							
	AV	Trial Transcript from December 11, 2000 at 2817-22 (reading of jury verdict)						
	AW	AW Jury verdict, dated December 11, 2000						
V	AX	District Court decisions on post-trial motions (194 F. Su	upp. 2d 323)					
Examiner Signature			Date Considered					

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Substitute for form 1449A/PTO			Application Number	10/689,579	
Substitute for form 144970F10				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT I	BY APPI	LICANT	Group Art Unit	3738	
(Use as many sho	els as neces	sary)	Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic
Sheet 12 of 33		Attorney Docket No.	02748P0044US		

-		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p			T ²			
PP	AY	D.I. 699, Motion by Defendant BSC and Scimed Life Sy Judgment of Invalidity of U.S. Patent No. 5,902,332 date	•	•				
	and 32 Denying No. 5,902,332							
BA Wright et al., Percutaneous Endovascular Stent: An Experimental Study (Abstract), RSNA Meeting (11/28/84)								
	ВВ	Hearing Transcript from February 10, 1998 at 122-32, 146-80 (Attorneys' opening remarks regarding '417 patent); 180-312 (R. Schatz testimony) [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]						
	вс	Hearing Transcript from February 11, 1998 at 427-575, 577-651 (Cordis expert testimony regarding the '417 patent, the prior art and the Palmaz-Schatz stent)						
	BD	Hearing Transcript from February 12, 1998 at 660-772 (Cordis expert testimony regarding the '417 patent, the Palmaz-Schatz stent and the prior art). [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]						
	BE Hearing Transcript from February 13, 1998 at 1121-1261 (Guidant expert testimony regarding the alleged obviousness of the '417 patent, the prior art and the Palmaz-Schatz stent). [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]							
	BF Order by J. Robinson denying Cordis' Motion for a Preliminary Injunction Against ACS dated July 17, 1998							
BG ACS, Inc.'s and Guidant Corp.'s Opening Brief in Support of Their Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,102, 417 dated August 27, 1998								
Examiner Signature		·	Date Considered					

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Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1449AF 10				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT F	Y APPI	ICANT	Group Art Unit	3738	
(Use as many she	ets as neces.	sary) .		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	13	of	33	Attorney Docket No.	02748P0044US

· · · · · · · · ·	<u> </u>	OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS						
Examiner Cite Initials* No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
PP BH Plaintiffs' Answering Brief in Opposition to ACS' and BSC's Motion for Summa Judgment on Obviousness dated September 24, 1998									
	BI Order dated March 31, 2000								
	ВЈ	Schatz Deposition Testimony; May 15, 1996: 79-83, 89-	92, 105-107 and	153-161					
	вк	Schatz Deposition Testimony; May 16, 1996: 555-564, 5	69-572						
	BL	Schatz Deposition Testimony; January 18, 1998: 67-73,	108-110						
	ВМ	Schatz Deposition Testimony; July 14, 1998: 69-77, 108	-112, 119-123						
	BN	Schatz Deposition Testimony; July 12, 1999: 88-91, 132-135, 144-149, 218-223, 231-242							
	во	Schatz Deposition Testimony; July 13, 1999: 251-334, 3	39-345, 374-416	<u> </u>					
	ВР	Schatz Deposition Testimony; July 14, 1999: 454-550							
	BQ	Schatz Deposition Testimony; July 15, 1999: 560-614							
	BR	Schatz Deposition Testimony; December 2, 1999: 906-911, 928-942, 945-963, 976-978, 1029-1034, 1038-1042							
	BS	Palmaz Deposition Testimony, November 5, 1991: 160-	172						
	вт	Palmaz Deposition Testimony, February 5, 1995: 710-727							
	BU	Palmaz Deposition Testimony, July 16, 1998: 55-56; 81-82							
V	BV	Palmaz Deposition Testimony, July 28, 1999: 560-568, 570-579							
Examiner Signature			Date Considered						

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			Application Number	10/689,579	
Substitute for form 1449A/PTO			Filing Date	October 20, 2003	
INFORMATIO	•			First Named Inventor Julio C. Palmaz	
STATEMENT I	BY APPL	ICANT	Group Art Unit	3738	
(Use as many sh	eets as neces:	sary)		Confirmation No.	2120
			Examiner Name	Paul B. Prebilic	
Sheet	14	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
PP I	вw	Palmaz Deposition Testimony, July 29, 1999: 778-785							
	вх	Palmaz Deposition Testimony, August 31, 1999: 1403-1452							
	BY	Palmaz Deposition Testimony, September 2, 1999: 1953-1960							
	BZ Palmaz Deposition Testimony, October 14, 1999: 2201-2209; 2275-2342; 2371-2411								
	CA	CA Palmaz Deposition Testimony, October 15, 1999: 2424-2497; 2508-2589							
	СВ	Palmaz Deposition Testimony, October 16, 1999: 2853-2860							
	СС	Tobor Deposition Testimony, June 17, 1999: 837-958							
	CD	Tobor Deposition Testimony, June 18, 1999: 1095-1184	_						
	CE	Tobor Deposition Testimony, December 1, 1999: 1217-1371							
	CF	Tobor Deposition Testimony, December 2, 1999: 1398-1414; 1444-1508; 1532-1548							
	CG	Tobor Deposition Testimony, December 3, 1999: 1652-1653; 1662-1672; 1683-1694							
	СН	Kula Deposition Testimony, April 20, 1999: 268-169							
	CI	Kula Deposition Testimony, November 16, 1999: 660-675; 680-694; 7-8-755; 774-821							
V	CJ	Kula Deposition Testimony, November 18, 1999; 176-223							
Examiner Signature		. Date Considered	_						

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Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1449A/F1O				Filing Date	October 20, 2003
INFORMATIO			•	First Named Inventor Julio C. Palmaz	
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many st	ieets as necess	ary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	15	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when ap journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p	ne article (when appropriate), title of the item (book, magazine, issue number(s), publisher, city and/or country where published.					
PP 	CK	Expert Report of Dr. Rodney S. Badger on Behalf of Me 2000)	dtronic AVE, Inc. (January 31,					
	CL	Expert Report of Dr. Joseph Bonn on Behalf of Medtron	ic AVE, Inc. (January 31, 2000)					
	СМ	Deposition of Dr. Joseph Bonn dated March 14, 2000						
	CN	Rebuttal Expert Report of Nigel Buller, B.Sc., M.B., F.R	C.P. (March 2000)					
	СО	Second Supplemental Rebuttal Expert Report of Nigel Buller, B.Sc., M.B., F.R.C.P. (August 17, 2004)						
	СР	Rebuttal Expert Report of John M. Collins, PH.D. (Febr	uary 2000)					
	CQ	Expert Report of David C. Cumberland, M.D. (January 2	24, 2000)					
	CR	Expert Report of John T. Goolkasian (February 2000)						
	CS	Deposition of Richard R. Heuser, M.D. (September 7, 20	004)					
	СТ	Deposition of Henry R. Piehler (September 10, 2004)	·					
	CU	Deposition of Ronald J. Solar (March 22, 2000)						
	CV	Deposition of Ronald J. Solar (March 23, 2000)						
	CW	Deposition of Ronald J. Solar (April 12, 2000)						
\bigvee	CX Expert Report of Dr. Arina Van Breda on Behalf of Medtronic AVE, Inc. (January 31, 2000)							
Examiner Signature			Date Considered					

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Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1449AFF10				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT I	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eels as neces:	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	16	of	33	Attorney Docket No.	02748P0044US

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PP	CY	Deposition of Arina Van Breda (March 24, 2000							
	CZ	Deposition of Arina Van Breda (August 21, 2004)							
	DA	Expert Report of John F. Witherspoon (January 24, 2000)						
	DB	Supplemental Expert Report of John F. Witherspoon (Oc	tober 27, 2000)						
	DC	Deposition of John F. Witherspoon (March 8, 2000)							
	DD	Palmaz et al., Article: Normal and Stenotic Renal Arteries: Experimental Balloon Expandable Intraluminal Stenting", Radiology, September 1987. (AVE 84)							
	DE	Julio C. Palmaz, Article: "Expandable vascular endopros	thesis." (AVE 1	32)					
	DF	Duprat et. al., Article: Flexible Balloon-Expandable Sten al. Radiology, Vol. 162, pgs. 276-278, 1987. (AVE 134)		els" Duprat et.					
	DG	Coons et. al., Article: "Large-Bore, Long Biliary Endopro Improved Drainage," Radiology, Vol. 148, pgs. 89-94, 19	osthesis (Biliary 983. (AVE 143)	Stents) for					
	DH	Honickman et al., Article: "Malpositioned Biliary Endoprosthesis, Technical Developments And Instrumentation," Vol. 144, No. 2., 1982. (AVE 144)							
\bigvee	Harries-Jones, et al., Article: "Repositioning of Biliary Endoprosthesis with Gruntzig Balloon Catheters," AJR, Vol. 138, pgs. 771-772, 1982. (AVE 153)								
Examiner Signature	xaminer								

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Substitute for form 1449A/PTO			Application Number	10/689,579	
Substitute for form 1449A/P1O			Filing Date	October 20, 2003	
INFORMATIO			First Named Inventor	Julio C. Palmaz	
STATEMENT :	BY APPL	ICANT	Group Art Unit	3738	
(Use as many sh	eets as necess	ary)		Confirmation No.	2120
		•		Examiner Name	Paul B. Prebilic
Sheet	17	of	33	Attomey Docket No.	02748P0044US

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Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
PP DJ		Charnsangavej et al., Article "Stenosis of the Vena Cava: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, Vol. 161, pgs. 295-298, 1986. (AVE 359)							
	DK	Article "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications," Radiology, Vol. 158, pgs. 309-312, 1986. (AVE 364)							
	DL	T. Yoshioka, et al., AJR Article: "Self-Expanding Endovascular Graft: An Experimental Study in Dogs", Vol 151, pgs. 673-676, 1988. (AVE 438)							
	DM	Article: "Expandable Intraluminal Vascular Graft: A Feasibility Study," Surgery, Vol. 99, pgs. 199-205, 1986. (AVE 461)							
	DN	Lawrence et al., Article: "Percutaneous Endovescular Gr Radiology, Vol. 163, pgs. 357-360, 1987. (AVE 671)	aft: Experimenta	al Evaluation."					
	DO	Palmaz et al., Article: Expandable Intraluminal Graft: A Preliminary Study, 11/17-22/1985, Radiology, Vol.156, pgs. 73-77, 1985. (AVE 1224)							
	DP Fallone et al., "Elastic Characteristics of the Self-Expanding Metallic Stents," Investigative Radiology, Vol. 23, pgs. 370-76, 1988. (AVE 1953)								
	DQ	Palmaz Paper Entitled "Research Project Expandable Vascular Endoprosthesis"							
V	DR	Rousseau, et al., Publication: "Percutaneous Vascular Stent: Experimental Studies & Preliminary Clinical Results in Peripheral Arterial Diseases," in Inter. Angio, Vol. 6, 153-161, 1987. (AVE 3301)							
Examiner Signature			Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application.

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				Application Number	10/689,579
Substitute for form 1449A/PTO				Filing Date	October 20, 2003
INFORMATIO			First Named Inventor	Julio C. Palmaz	
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eets as neces.	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	18	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
PP	DS	Rousseau, et al., Publication: "Self-Expanding Endovas Experimental Study," Radiology, Vol.164, pgs. 709-714							
	DT	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications," Radiology, Vol. 58, pgs. 309-312, 1986. (DBX 2938)							
	DU	Palmaz et al., Article: "Expandable Intraluminal Graft: A Preliminary Study," Radiology, Vol.156, pgs. 73-77 (DBX 4595)							
	DV	Program for the 12th Annual Course on Diagnostic Angiography and Interventional Radiology 3/23-26/87 sponsored by The Society of Cardiovascular and Interventional Radiology (DBX 6235)							
	DW	Preliminary Motion for Judgment re: Wolff claims 1, 2-8	3, 10, 15 and 19	(DBX6759)					
	DX	Palmaz Declaration (DBX 7069)							
	DY	•	Letter from Gaterud to Dr. Palmaz dated July 5, 1988 with attached document entitled: "Segmented, balloon-expandable stents." (DBX 7160)						
	DZ	Duprat et al., Article: "Flexible Balloon-Expandable Stent For Small Vessels," Radiology, Vol. 168, pgs. 276-278, 1987 (PX 82)							
	EA	Drawing Sent to Bodic on March 17, 1986 (PX 374)							
\bigvee	EB	Letter from Dr. Palmaz to R. Bowman enclosing a model of the flexible coronary graft dated March 17, 1986 (PX 337)							
Examiner Signature			Date Considered						

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C hair as for far a 1404 PTO			Application Number	10/689,579	
Substitute for form 1449A/PTO			Filing Date	October 20, 2003	
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT	Group Art Unit	3738	
(Use as many si	icets as necess	ary)	Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic
Sheet	19	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials* Cite No.¹ pp EC		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
		Lab Notebook pages dated July 30, 1987 from Rodney Wolff (COR 185596-597) (PX 621A)							
	ED	Palmaz et al., "Expandable Intraluminal Graft: A Preliminary Study Work in Progress, Radiology, Vol. 156, No. 1, pgs. 73-77, 1985. (API 33)							
Charnsangavej, et al., Article: "Stenosis of The Vena Cava Prelimimnary Assessment Treatment with expandable Metallic Stents," Radiology, Vol. 161, No. 2, pgs. 295-29 with attached photographs, 1986. (API 72)									
	J. Palmaz: The Current Status of Vascular Prostheses, published by SCIR in the Twelft Annual Course on Diagnostic Angiography And Interventional Radiology March 23-26 1987. (API 73)								
į-	EG	Amendment in Response to Office Action of October 18, 1998 in re: Application of Julio Palmaz S/N 174,246. (API 152)							
	ЕН	Article: Wallace, et al., Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work In Progress, Radiology, Vol. 158, pp. 309-312. (API 295)							
Reply of Senior Party Schatz To Patentee Wolff's Opposition To The Belated Motion For Judgment Of Applicant Schatz With Regard To Wolff Claims 1, 2-8, 10, 11, 13 And 19 (COR 186450-455) (API 310)									
	EJ	Brief Of Senior Party Schatz At Final Hearing (API 313)							
EK Copy of Letter from Ron Sickles to Ben Tobor dated 2/10/88 (Exhibit 42)									
Λ	EL	Copy of Letter from R.O. Sickles to Mike Tatlow dated 5/12/88 (Exhibit 43)							
Examiner Signature		Date Considered							

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1449AVF10				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT F	BY APPL	ICANT		Group Art Unit	3738
(Use as many sho	ets as necess	ary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Shect	20	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS						
Examiner Initials*	Cite No. ¹								
PP	EM	Copy of Letter from R. O. Sickles to Richard Schatz date	ed 6/2/88 (Exhib	it 44)					
	EN	Copy of Letter from Richard Schatz to Raimund Erbel d	ated 6/3/88 (Exl	hibit 45)					
	EO	Copy of Letter from Richard Schatz to Mike Schuler dat	ed 8/29/91 (Exh	nibit 48)					
	EP	Minutes of J&J Stent Project Review Meeting dated 1/2	1/88 (Exhibit 7)						
	Preliminary Motion for Judgment with Regard to Wolff Claims 1, 2-8, 10, 11, 13-17, and 19. (Exhibit 67)								
	ES	Declaration of Richard A Schatz. (Exhibit 75)	Declaration of Richard A Schatz. (Exhibit 75)						
	ET	Belated Motion for Judgement with Regard to Wolff Cla 19. (Schatz - Exhibit 77)	nims 1, 2-8, 10, 1	1, 13-17 and					
	EU	Letter from Dr. Schatz to Mr. Tobor, dated 6/3/88. (Exh	ibit 122)						
	EV	Letter from Dr. Schatz to Mr. Romano, dated 11/28/88.	(Exhibit 131)						
	EW	Letter from Mr. Sickles to Mr. Tobor, dated 2/10/88 (Ex	chibit 145)						
	EX	Richard A. Schatz, Article titled: "A View of Vascular Stents" Circulation, Vol 79, No.2, pgs. 445-457, 1989. (Exhibit 194)							
V	EY								
Examiner Signature		·	Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1,97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application.

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Substitute for form 1449A/PTO			Application Number	10/689,579	
Substitute for lotter 1443207 TO		•	Filing Date	October 20, 2003	
INFORMATIO			First Named Inventor	Julio C. Palmaz	
STATEMENT	BY APPL	ICANT	Group Art Unit	3738	
(Use as many sl	eets as neces.	sary)	Confirmation No.	2120	
			Examiner Name	Paul B. Prebilic	
. Sheet	21	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
			e of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where publisher.					
PP	EZ	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work In Progress," Radiology, Vol. 158, pp. 309-312, 1986. (Exhibit 165)						
	FA	Charnsangavej, et al., Article: "Stenosis of The Vena Cava Prelimimnary Assessment of Treatment with expandable Metallic Stents," Radioloby, Vol. 161, No. 2, pgs. 295-298 with attached photographs, 1986. (Exhibit 167)						
	FB	David D. Lawrence et al., Publication: "Percutaneous Endovascular Graft: Experimental Evaluation, Radiology, pgs. 163, 357-360, 1987. (Exhibit 173)						
	FC	Charles E. Putnam, M.D., Cover and article from "Investigative Radiology", Vol. 23. No. 5, May 1988. (Exhibit 177)						
	FD	Robert N. Berk, Cover and article from "American Journal of Roentology", pgs, 673-676, 1988. (Exhibit 178)						
	FE	Declaration of John S. Kula Under 37 CFR § 1.672. (K	ula - Exhibit 77))				
	FF	Yoshioka et al.; Article: "Self-Expanding Endovascular Graft: An Experimental Study in Dogs" AJR, Vol. 151, pgs. 673-676, 1988. (PX 100)						
	FG	Palmaz, et al., Article: "Expandable Intraluminal Graft: A Preliminary Study Work in Progress, Radiology, Vol. 156, No.1, pgs. 73-77, 1985. (PX 101)						
V	FH	Declaration of Richard Schatz Under 37 C.F.R.§ 1.672.	(PX 106)					
Examin Signatu			Date Considered					

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Substitute for form 1449A/PTO				Application Number	10/689,579
				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT B	Y APPI	ICANT		Group Art Unit	3738
(Use as many she	ets as neces	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	22	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials* Cite No.! PP		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
		Charnsangavej et al., Article: "Stenosis of the Vena Cave: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, Vol. 161, pgs. 295-298, 1986. (PX 143)						
Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used Experimental and Clinical Applications Work in Progress ¹ , Radiology, Vol. 158, p. 309-312, 1986. (PX 144)								
FK Gina Kolata, News Article: NY Times, "Devices That Opens Clogged Arteries Gets Falling Grade in a New Study", pgs. 16-18, January 3, 1991. (PX 186)								
	FL	Duprat, et al., Article: "Flexible Balloon-Expanded Stent for Small Vessels Work in Progress!", Radiology, Vol.162, pgs. 276-278, 1987. (PX 207)						
	FM	Letter from Palmaz to Bowman dated March 17, 1986. (PX 350)						
	FN	Memo re: Minutes of Stent Project Review- San Antonia	a- March 15, 198	8. (PX 651)				
FO Kuntz, et al., Article: Clinical Cardiology Frontiers: "Defining Coronary Restenosis, Newer Clinical and Angiographic Paradigms", Circulation, Sept. 1993, Vol 88, No. 3 pgs. 1310-1323. (PX 854)								
	FP Belated Motion for Judgment with regard to Wolff Claims1, 2-8, 10, 11, 13-17, and 19. (PX 1410)							
V	FQ	Drawing of Sprial Stent (sent to Bodic 3/17/1986). (PX	2933)					
Examiner Signature	aminer							

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C. L C. C LAGA TOTO			Application Number	10/689,579	
Substitute for form 1449A/PTO		•	Filing Date	October 20, 2003	
INFORMATIO			First Named Inventor	Julio C. Palmaz	
STATEMENT	BY APPL	ICANT	Group Art Unit	3738	
(Use as many sl	neets as necess	sary)	Confirmation No.	2120	
			Examiner Name	Paul B. Prebilic	
Sheet	23	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
PP	FR	Wright et al., Article: "Percutaneous Endovascular Stents: An Experimental Evaluation Radiology, Vol. 156, pgs. 69-72, 1985. (PX 3093)							
	FS	Charnsangavej et al., Article: "A New Expandable Metallic Stent for Dilation of Stenotic Tubular Structures: Experimental and Clinical Evaluation," Houston Medical Journal, Vol. 3, pgs. 41-51, 1987. (PX 3207)							
	FT	In re Application of Wiktor, Appln. No. 69,636, Response to Office Action dated 3/17/1988. (PX 3236)							
	FU	Transmittal Letter of Response to First Office Action in '417 patent. (PX 3993)							
	FV	Letter from B. Tobor to R. Schatz dated July 23, 1991. (PX 3996)							
	FW	Mullins et al., Article: "Implantation of balloon-expandable intravascular grafts by catherization in pulmonary arteries and systemic veins," Circulation, Vol. 77, No. 1, pgs. 188-189, 1988. (PX 4049)							
	FX	Schatz et al., Article: "Intravascular Stents for Angioplasty," Cardio, 1997. (PX 4050)							
	FY	Schatz et al., Article: "New Technology in Angioplasty Balloon-Expandable Intravascular Stents, New Developments in Medicine," Vol. 2, No. 2 pgs. 59-75, 1987. (PX 4051)							
	FZ	Richard A. Schatz, Article: "Introduction to Intravascular Stents," Cardiology Clinics, Vol. 6, No. 3, pgs. 357-372, 1988. (PX 4052)							
	GA	Richard A. Schatz, Article: "A View of Vascular Stents," Circulation, Vol. 79, No. 2, pgs. 445-457, 1989. (PX 4053)							
\forall	GB	Wang et al., Article: "An Update on Coronary Stents," Cardio, pgs. 177-186, 1992. (PX 4054)							
Examiner Signature		Date Considered							

[•]EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cubatians for form 1440A/RTO	•		Application Number	10/689,579		
Substitute for form 1449A/PTO			Filing Date	October 20, 2003		
INFORMATIO			First Named Inventor	Julio C. Palmaz		
STATEMENT	BY APPL	ICANT	Group Art Unit	3738		
(Use as many s	ieets as neces:	sary)	Confirmation No.	2120		
			Examiner Name	Paul B. Prebilic		
Sheet	24	of	33	Attorney Docket No.	02748P0044US	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
PP 	GC	Richard A. Schatz, Article: "New Technology in Angioplasty: Balloon-Expandable Stents," Medicamundi, Vol 33, No. 3, pgs. 112-116, 1988. (PX 4055)						
	GD	Letter from Tobor to Schatz dated September 29, 1988. (PX 1395)						
	GE	Verified Statement of Facts by Unnamed Inventor R.A. Schatz document filed in U.S. Patent and Trademark Office on September 8, 1989. (PX 3677)						
	GF	Declaration of John S. Kula Under 37 CFR § 1.672 (Exhibit 329)						
	GG	Letter to Mike Schular from R.A. Schatz dated August 29, 1991. (Exhibit 402)						
	GH	Articulated, Balloon - Expandable Stents, (DBX 7159)						
	GI	J. Rösch et al., Experimental Intrahepatic Portacaval Anastomosis: Use of Expandable Gianturco Stents, Radiology, Vol. 162, pgs. 481-485, 1987.						
	GJ	J. Rösch et al., Modified Gianturco Expandable Wire Stents In Experimental and Clinical Use, Ann Radiol, Vol. 31, No. 2, pgs. 100-103, 1987.						
	GK	J. Rösch et al., Gianturco Expandable Stents In the Treatment of Superior Vena Cava Syndrome Recurring After Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation, Cancer, Vol. 60, pps. 1243-1246, 1987						
	GL	J.E. Gordon, Structures or Why Things Don't Fall Down, Penguin Books, pgs. 45-59, 132-148, 210-244, 377-383						
	Maass et al., Radiological Follow-up of Transluminally Inserted Vascular Endoprostheses: An Experimental Study Using Expanding Spirals, Radiology, Vol. 152, pgs. 659-663, 1984.							
\/	GN	Argument submitted re EP 86115473 dated January 20, 1995. (AVE 2478)						
V	GO	Verified Statement of Facts by Julio C. Palmaz dated August 4, 1989. (PX 3662)						
Examiner Signature		. Date Considered						

[•] EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Substitute for form 1449A/PTO				Application Number	10/689,579
				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT I	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	ets as necess	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	25	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Cite No.¹								
		Papanicolaou et al., Insertion of a Biliary Endoprosthesis Using A Balloon Dilatation Catheter, Gastrointest Radiology, Vol.10, pgs. 394-396, 1985.						
	GQ	Palmaz et al., Expandable Intraluminal Vascular Graft: A Feasibility Study, Surgery, Vol. 99, No. 2, pgs. 199-205, 1986.						
	GR	Palmaz et al., Atheroscierotic Rabbit Aortas: Expandable Intraluminal Grafting, Radiology, Vol. 168, pgs. 724-726, 1986.						
	GS Palmaz, The Current Status of Vascular Prostheses; Rösch et al., Gianturco, Expandal Stents in Experimental and Clinical Use, SCIVR, pgs. 118-124, 1987.							
	GT	Rösch et al., Abstract: Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use, CIRSE, Porto Cervo, Sardinia, May 25-29, 1987.						
	GU	Rösch et al., Gianturco Expandable Wire Stents in the Treatment of Superior Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation, Cancer, Vol.60, pgs. 1243-1246, 1987.						
	GV	Mirich et al., Percutaneously Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study, Radiology, Vol. 170, pgs. 1033-1037, 1989.						
	GW Dotter, Transluminally-placed Coilspring Endarterial Tube Grafts, Investigative Radiology, Vol. 4, Sept Oct., pgs. 329-332, 1969.							
Palmaz et al., Abstract: Expandable Intraluminal Graft: A Preliminary Study, Radi Vol. 153 (P), November 1983: 70 th Scientific Assembly and Annual Meeting		Palmaz et al., Abstract: Expandable Intraluminal Graft: A Preliminary Study, Radiology, Vol. 153 (P), November 1983: 70 th Scientific Assembly and Annual Meeting						
Examiner Signature		Date Considered						

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Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Application Number	10/689,579
				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPI	ICANT		Group Art Unit	3738
(Use as many sh	eeis as neces.	sary)		Confirmation No.	2120
		•		Examiner Name	Paul B. Prebilic
Sheet	26	· of	33_	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
PP I	GY	Cragg et al., Nonsurgical Placement of Arterial Endopro Using Nitinol Wire, Radiology, Vol. 147, pgs. 261-263,	onsurgical Placement of Arterial Endoprostheses: A New Technique Wire, Radiology, Vol. 147, pgs. 261-263, April, 1983.					
J. Rösch et al., Gianturco Expandable Stents in Experimental and Clinical Use "Twelfth Annual Course on Diagnostic Angiography and Interventional Radio (Society of Cardiovascular and Interventional Radiology, Pittsburgh, PA), Ma 1987 (the second Monofilament Article).								
HA Uchida et al., Modifications of Gianturco Expandable Wire Stents, AJR, Vol. 150, pgs 1185-87, 1988.								
	нв	Palmaz, Balloon-Expandable Intravascular Stent, AJR, Vol.1510, pgs.1263-69.						
	HC Cordis Corporation v. Advanced Cardiovascular Systems, Inc., Guidant Corporation, Arterial Vascular Engineering, Inc., Boston Scientific Corporation and SCIMED Life Systems, Inc., Plaintiff's Complaint, October 23, 1997 (Case No. 97-550-SLR)							
	HD	Arterial Vascular Engineering, Inc. v. Cordis Corporatio Expandable-Grafts Partnership, Plaintiff's First Amende Relief of Patent Validity, Unenforceability, Noninfringer Violations, January 27, 1998 (Civil Action No. 97-700)	d Complaint for	Declaratory				
HE Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Cordis Corporation and Johnson & Johnson's Answer and Counterclaim, February 27, 1998(Civil Action No. 97-700-SLR)								
HF Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Expandable-Graft Partnership's Answer, February 27, 1998 (Civil Action No. 97-700-SLR)								
Examiner Signature		·	Date Considered					

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^{*}EXAMINER: Initial if reference considered, whether of not cliation is in conformance with MPER 809. Disw the absolute that the conformance and not constitute. Including some with next communication to applicant.

Applicant's unique cliation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO			Application Number	10/689,579	
Substitute for foun 144580 FTO			Filing Date	October 20, 2003	
INFORMATIO				First Named Inventor	Julio c. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sl	ieeis as necess	ary) ·		Confirmation No.	2120
			Examiner Name	Paul B. Prebilic	
Sheet	27	of	Attorney Docket No.	02748P0044US	

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		,		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when ap journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p			T²		
PP	НG	Expandable-Grafts Partnership, Reply of Plaintiff Arteria	gineering, Inc. v. Cordis Corporation, Johnson & Johnson and artnership, Reply of Plaintiff Arterial Vascular Engineering, Inc. To Tendant Cordis Corporation, March 31, 1998 (Civil Action No. 97-				
HH Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Reply of Plaintiff Arterial Vascular Engineering, Inc. To Counterclaims of Defendant Expandable Grafts Partnership, March 31, 1998 (Civil Action No. 97-700-SLR)							
	Cordis Corporation v. Advanced Cardiovascular Systems, Inc. and Guidant Corporation, Cordis Corporation's Motion for a Preliminary Injunction, October 8, 1997 (Civil Action No. 97-550)						
	НЈ	Cordis Corporation v. Advanced Cardiovascular Systems Arterial Vascular Engineering, Inc., Boston Scientific Co Cordis's Motion for Preliminary Injunction Against Arte December 29, 1997 (Case No. 97-550-SLR)	orporation and So	CIMED, Inc.,			
	нк	Deposition of R. Schatz, M.D. in Cordis Corporation v. Systems, Inc., taken on January 8, 1998 (Civil Action No.		ovascular			
	HL Deposition of Lee P. Bendel in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 22, 1998 (Civil Action No. 97-550 SLR)						
Deposition of Julio Cesar Palmaz in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on December 29, 1997 (Civil Action No. 97-550 SLR)							
Examiner Signature		·	Date Considered				

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Applicants unique citation designation number (optional).

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Substitute for farm 1440 A /BTO				Application Number	10/689,579	
Substitute for form 1449A/PTO		•		Filing Date	October 20, 2003	
INFORMATION				First Named Inventor	Julio c. Palmaz	
STATEMENT E	SY APPI	LICANT		Group Art Unit	3738	
(Use as many she	els as neces	sary)		Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet	28	of	33	Attorney Docket No.	02748P0044US	

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		,			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when ap journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p	propriate), title of the ite ublisher, city and/or cou	em (book, magazine, ntry where published.	T²			
PP	HN	Deposition of Richard A. Bowman in Cordis Corporation Systems, Inc., taken on January 9, 1998 (Civil Action No.		ardiovascular				
HO Deposition of Gary Schneiderman in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 16, 1998 (Civil Action No. 97-550 SLR)								
Deposition of David Pearle, M.D. in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on July 10, 1998 (Civil Action No. 97-550 SLR)								
	HQ Preliminary Injunction hearing testimony taken on February 9-13, 1998 (Civil Action No. 97-550 SLR)							
	HR	Cordis Corporation v. Advanced Cardiovascular Systems 97-550 SLR) and Cordis Corporation v. Advanced Cardi (Civil Action No. 98-65-SLR), Opening Post Hearing Br Corporation in Support of Motion for Preliminary Injunct relevant to patent claim construction and patent validity	ovascular Syster ief of Plaintiff C tion, March 6, 1	ns, Inc. Et al. ordis				
HS Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc. et al., Post-Hearing Reply Brief of Plaintiff Cordis Corporation in Support of Its Motion for Preliminary Injunction, April 10, 1998 (Case No. 97-550 SLR) (Portions relevant to patent validity issues)								
Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc. et al., Plaintiff's Motion for a Preliminary Injunction Against Boston Scientific Corporation and SCIMED Life Systems, Inc. And Memorandum in Support, April 13, 1998 (Case No. 97-550-SLR)								
Examiner Date Considered								

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Substitute for form 1449A/PTO				Application Number	10/689,579	
Substitute for form 1445AVE 10				Filing Date	October 20, 2003	
INFORMATIO				First Named Inventor Julio C. Palmaz		
STATEMENT	BY APPL	ICANT		Group Art Unit	3738	
(Use as many si	eets as neces	sary)		Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet 29 of 33				Attorney Docket No.	02748P0044US	

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p			T²			
PP HU Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., et al., Judge Robinson's Order Denying Plaintiff's Motion for a Preliminary Injunction, July 17, 1998 (Civil Action No. 97-550 SLR)								
	HV Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., et al., Defendant Boston Scientific Corporation and SCIMED Life Systems, Inc.'s Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,102,417, August 27, 1998 (Civil Action No. 97-550- SLR)							
	нw	Boston Scientific Limited, et al. v. Expandable Grafts Partnership, Plaintiffs' Statement of Claim, March 13, 1997 (UK Action No. 1493)						
	нх	Boston Scientific Limited, et al. v. Expandable Grafts Pa Amended Defense and Counterclaim, August 14, 1997 (
	НҮ	Boston Scientific Limited, et al. v. Expandable Grafts Pa Revocation, March 13, 1997 (UK Action No. 1497)	urtnership, Petitio	on for				
	HZ	Boston Scientific Limited, et al. v. Expandable Grafts Pa Objections, March 13, 1997 (UK Action No. 1497)	urtnership, Partic	ulars of				
	IA	Boston Scientific Limited, et al. v. Expandable Grafts Partnership and Boston Scientific Limited et al., v. Julio C. Palmaz, Boston's Skeleton Argument (UK Action Nos. 1493, 1495, 1496, and 1497)						
\bigvee	1B	Boston Scientific Limited, et al. v. Julio C. Palmaz and Expandable Grafts Partnership, Skeleton Argument of Palmaz/EGP, March 19, 1998 (UK Action Nos. 1493, 1495, 1496 and 1497)						
Examiner Signature			Date Considered					

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Substitute for form 1449A/PTO			Application Number	10/689,579		
Substitute for four 1443/01.10			Filing Date	October 20, 2003		
INFORMATIO				First Named Inventor	Julio C. Palmaz	
STATEMENT 1	BY APPL	ICANT		Group Art Unit	3738	
(Use as many sh	eets as neces.	sary)		Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet	30	of	Attorney Docket No.	02748P0044US		

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS							
Examine Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), page(s), p	opropriate), title of the its sublisher, city and/or cou	em (book, magazine, intry where published.	T²					
P	IC	Boston Scientific Limited, et al. v. Julio C. Palmaz and I EGP's Final Submissions, April 2, 1998 (UK Action No								
	ID		Boston Scientific Limited, et al. v. Julio C. Palmaz and Expandable Grafts Partnership, Judgment, June 26, 1998 (UK Action Nos. 1493, 1495, 1496 and 1497)							
	Rösch, Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use, CIRSE 1987 Presentation: see Witness Statement of Josef Rösch from U.K. Proceeding									
	IF	Statement of Claim by Boston Scientific et al. against Expandable Grafts Partnership et al., in EPG et al., v. Boston Scientific et al. in Netherlands (March 13, 1997)								
	IG	Motion for Joinder of Actions, Change of Claim and Sta Expandable Grafts Partnership et al. in EPG et al. v. Bos Netherlands (April 22, 1997)		~						
	IH	Opinion of K.J. Metman filed in EPG et al. v. Boston Sc (August 29, 1997)	ientific et al. in l	Netherlands						
	П	Expert report of Dr. Nigel Buller in EPG et al. v. Boston (August 28, 1997)	Scientific et al.	in Netherlands						
	Expert report of Lee P. Bendel in EPG et al. v. Boston Scientific et al. in Netherlands (August 28, 1997)									
V	/ IK	Memorandum of Oral Pleading in EPG et al. v. Boston Scientific et al. in Netherlands (September 12, 1997)								
Examiner Signature Date Considered										

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Substitute for form 1449A/PTO	-	•	Application Number	10/689,579	
Substitute for torin 144570F10			Filing Date	October 20, 2003	
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT B	Y APPL	ICANT		Group Art Unit	3738
(Use as many shee	els as neces.	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	31	of	Attorney Docket No.	02748P0044US	

		OTHER PRIOR ART - NON PATENT LITERATURE DOC	CUMENTS		· ·				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when ap journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p			T²				
PP	IL	Plea Notes of P.A.M. in EPG et al. v. Boston Scientific e 1998)	t al. in Netherla	nds (March 10,					
	IM	Decision of Court of Appeals in EPG et al. v. Boston Scientific et al. in Netherlands (April 23, 1998)							
	IN	Translation of Nullity Action Against EPO 0 364 787 by	Biotronik in Ge	rmany					
	Translation of Nullity Action Against EPO 0 335 341 by Biotronik in Germany								
	Translation of EPG Response to Nullity Action Against EP 0 364 787 by Biotronik in Germany								
	IQ	Translation of EPG Response to Nullity Action EP 0 335	341 by Biotron	ik in Germany					
	IR	Nullity Suit Against EP-B1-0 335 341 Brought by Bosto	n Scientific in G	ermany					
	IS	Translation of Opposition filed by Terumo Corp. Agains	t Japan Patent N	o. 2680901					
	ΙΤ	Translation of Decision on Opposition Against Japan Par	tent No. 268090	1					
	IU	Memorandum Order of the Court dated September 7, 200 construction	00, concerning d	lisputed claim					
	IV	Translation of Judgment in Nullity Action Against EP 0 364 787 by Biotronik in Germany							
\forall	IW	Translation of Judgment in Nullity Action Against EP 0 Germany	335 341 by Biot	ronik in					
Examiner Signature Date Considered									

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Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1445201 10		•		Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sl	eels as necess	sary)		Confirmation No.	2120
	_			Examiner Name	Paul B. Prebilic
Sheet	32	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
PP	iΧ	Trial transcript from March 17, 2005 at 171-172, 191-192						
	ΙΥ	Trial transcript from March 18, 2005 at 282-285, 325-327, 349-351						
	IZ	Trial transcript from March 21, 2005 at 721-726						
	JA	Trial transcript from March 24, 2005 at 1387						
	JB	Cordis Corporation v. Medtronic Ave., Inc., et al., PROCEEDINGS, CA No. 97550 (SLR), etc., dated July 26, 2001						
	ìC	BSC's Opening Brief in Support of Its Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial						
	ΩĮ	Cordis' Answering Brief in Opposition to BSC's Motion for JMOL or a New Trial on the Palmaz '762 Patent and the Schatz '332 Patents						
	JE	BSC's Reply Brief in Support of Its Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial						
	JF	J. Rösch et al., Abstract, Expandable Gianturco-Type Wire Stents in Experimental Intrahepatic Portacaval Shunts, Program: "72nd Scientific Assembly and Annual Meeting of the Radiological Society of North America", November 30 - December 5, 1986, Radiology, Vol. 161, pgs. 40-41, 1986.						
	JG	Cordis Corporation v. Boston Scientific, Order Dated March 27, 2006 (97-550-SLR)						
	JH	Cordis Corporation v. Boston Scientific, Judgment in a Civil Case Dated March 27, 2006 (97-550-SLR)						
\bigvee	11	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)						
Examiner Signature		Date Considered						

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Substitute for form 1449A/PTO	••	, .	Application Number 10/689,579 Filing Date October 20, 2003 First Named Inventor Julio C. Palmaz		
Substitute for form 1449/07 TO				Filing Date	October 20, 2003
INFORMATIO			First Named Inventor	Julio C. Palmaz	
STATEMENT BY APPLICANT				Group Art Unit	3738
				Confirmation No.	2120
					Paul B. Prebilic
Sheet	33	of	33	Attorney Docket No.	02748P0044US

Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
PP	IJ	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)					
	JK	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)					
	JL	Cordis Corporation v. Boston Scientific, Order Dated March 27, 2006 (97-550-SLR)					
	JM	Cordis Corporation v. Boston Scientific, Order Dated March 27, 2006 (97-550-SLR)					
			-				
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Examiner Signature		/Paul Prebilic/ Date Considered 04/25/2006					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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